



ACT
Government

Chief Minister, Treasury and
Economic Development

Share Voting Activity

1 July 2019 to 31 March 2020

The Chief Minister, Treasury and Economic Development Directorate (CMTEDD) utilises the services of global proxy voting advisor, Institutional Shareholder Services (ISS) in relation to share voting for directly owned share investments. ISS provides research and voting recommendations on all company governance matters based on the ISS Sustainability Policy guidelines. The share voting services include the maintenance of a share voting database holding details of the share voting activity. This report provides details of the share voting activity for the period identified.

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Produced By: Asset Liability Management, Economic and Financial Group, Chief Minister, Treasury and Economic Development Directorate

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/19 to 3/31/20

Table 1 –Antitakeover Related

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Adjourn Meeting	16	16	14	1	0	0	1	0	0	0	14	1	15	0
Adopt, Renew or Amend Shareholder Rights Plan (Poison Pill)	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Amend Articles/Charter Governance-Related	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Modification in Share Ownership Disclosure Threshold	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Authorize the Company to Call EGM with Two Weeks' Notice	25	25	25	0	0	0	0	0	0	0	25	0	25	0
Provide Right to Act by Written Consent	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Provide Right to Call Special Meeting	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Reduce Supermajority Vote Requirement	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Renew Partial Takeover Provision	23	23	23	0	0	0	0	0	0	0	23	0	23	0
Totals for Antitakeover Related:	70	70	67	2	0	0	1	0	0	0	67	2	69	0

Table 2 –Capitalization

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Adopt/Amend Dividend Reinvestment Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Amend Articles/Charter Equity-Related	2	3	3	0	0	0	0	0	0	0	3	0	3	0
Amend Articles/Charter to Reflect Changes in Capital	1	1	1	0	0	0	0	0	0	0	1	0	1	0

Meeting-Level Statistical Issues Summary (SIS) Report
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Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Approve Cancellation of Capital Authorization	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Capital Raising	2	2	1	1	0	0	0	0	0	0	1	1	2	0
Approve Change-of-Control Clause	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Issuance of Equity or Equity-Linked Securities with or without Pre-emptive Rights	44	44	43	1	0	0	0	0	0	0	43	1	44	0
Approve Issuance of Equity or Equity-Linked Securities without Pre-emptive Rights	60	83	72	9	0	0	2	0	0	0	72	9	81	0
Approve Issuance of Shares for a Private Placement	9	19	19	0	0	0	0	0	0	0	19	0	19	0
Approve Issuance of Warrants/Convertible Debentures	5	5	5	0	0	0	0	0	0	0	5	0	5	0
Approve Reduction in Share Capital	26	29	29	0	0	0	0	0	0	0	29	0	29	0
Approve Reverse Stock Split	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Shares Issued for a Private Placement to a Director or Executive	2	7	7	0	0	0	0	0	0	0	7	0	7	0
Approve Stock Split	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve/Amend Conversion of Securities	1	2	0	0	0	0	2	0	0	0	0	0	0	0
Approve/Amend Securities Transfer Restrictions	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Board to Increase Capital in the Event of Demand Exceeding Amounts Submitted to Shareholder Vote Above	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Authorize Board to Set Issue Price for 10 Percent of Issued Capital Pursuant to Issue Authority without Pre-emptive Rights	2	2	2	0	0	0	0	0	0	0	2	0	2	0

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Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Authorize Capital Increase for Future Share Exchange Offers	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Authorize Capital Increase of up to 10 Percent of Issued Capital for Future Acquisitions	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Authorize Capitalization of Reserves for Bonus Issue or Increase in Par Value	6	6	6	0	0	0	0	0	0	0	6	0	6	0
Authorize Directed Share Repurchase Program	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Issuance of Equity Upon Conversion of a Subsidiary's Equity-Linked Securities	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Issuance of Equity or Equity-Linked Securities with Pre-emptive Rights	6	6	6	0	0	0	0	0	0	0	6	0	6	0
Authorize Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Pre-emptive Rights	5	5	3	2	0	0	0	0	0	0	3	2	5	0
Authorize Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Pre-emptive Rights	10	10	6	4	0	0	0	0	0	0	6	4	10	0
Authorize Reissuance of Repurchased Shares	75	78	75	3	0	0	0	0	0	0	75	3	78	0
Authorize Share Repurchase Program	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Share Repurchase Program and Cancellation of Repurchased Shares	8	8	6	1	0	0	1	0	0	0	6	1	7	0
Authorize Share Repurchase Program and Reissuance of Repurchased Shares	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Use of Financial Derivatives	8	10	8	0	0	0	2	0	0	0	8	0	8	0
Company Specific - Equity Related	1	1	1	0	0	0	0	0	0	0	1	0	1	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/19 to 3/31/20

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Eliminate Pre-emptive Rights	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Increase Authorized Common Stock	30	36	35	1	0	0	0	0	0	0	35	1	36	0
Ratify Past Issuance of Shares	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Set Global Limit for Capital Increase to Result from All Issuance Requests	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Totals for Capitalization:	146	377	348	22	0	0	7	0	0	0	348	22	370	0

Table 3 –Directors Related

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Amend Articles Board-Related	7	8	8	0	0	0	0	0	0	0	8	0	8	0
Appoint Alternate Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration]	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Appoint Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration]	24	38	33	5	0	0	0	0	0	0	33	5	38	0
Approve Director/Officer Liability and Indemnification	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Approve Discharge of Auditors	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Discharge of Board and President	14	14	14	0	0	0	0	0	0	0	14	0	14	0
Approve Discharge of Management Board	17	31	23	8	0	0	0	0	0	0	23	8	31	0
Approve Discharge of Management and Supervisory Board	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve Discharge of Supervisory Board	8	57	36	21	0	0	0	0	0	0	36	21	57	0
Approve Executive Appointment	2	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve Increase in Size of Board	2	2	2	0	0	0	0	0	0	0	2	0	2	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/19 to 3/31/20

Action	Meetings	Proposals	Votes		Votes		DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
			For	Against	Abstain	Withhold								
Approve Remuneration of Directors and/or Committee Members	43	46	44	2	0	0	0	0	0	0	44	2	46	0
Approve the Spill Resolution	13	13	0	13	0	0	0	0	0	0	13	0	13	0
Company Specific - Board-Related	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Declassify the Board of Directors	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Deliberations on Possible Legal Action Against Directors/(Internal) Auditors	2	2	0	2	0	0	0	0	0	0	2	0	2	0
Dismiss/Remove Director(s)/Auditor(s) (Non-contentious)	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Elect Alternate/Deputy Directors	9	15	15	0	0	0	0	0	0	0	15	0	15	0
Elect Board Chairman/Vice-Chairman	12	12	11	1	0	0	0	0	0	0	11	1	12	0
Elect Director	447	2601	2432	118	7	37	7	0	0	0	2432	162	2594	0
Elect Director (Cumulative Voting or More Nominees Than Board Seats)	4	16	9	0	3	0	4	0	0	0	9	3	12	0
Elect Directors (Bundled)	4	4	2	1	0	0	1	0	0	0	2	1	3	0
Elect Supervisory Board Member	9	35	29	6	0	0	0	0	0	0	29	6	35	0
Fix Number of Directors and/or Auditors	13	17	17	0	0	0	0	0	0	0	17	0	17	0
Indicate Personal Interest in Proposed Agenda Item	13	13	0	13	0	0	0	0	0	0	13	0	13	0
Totals for Directors Related:	478	2946	2697	190	10	37	12	0	0	0	2725	209	2934	0

Meeting-Level Statistical Issues Summary (SIS) Report
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Table 4 –Non-Salary Compensation

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Advisory Vote on Golden Parachutes	8	8	8	0	0	0	0	0	0	0	8	0	8	0
Advisory Vote on Say on Pay Frequency	5	5	0	0	0	0	0	5	0	0	5	0	5	0
Advisory Vote to Ratify Named Executive Officers' Compensation	344	354	303	51	0	0	0	0	0	0	305	49	354	0
Amend Executive Share Option Plan	3	3	2	1	0	0	0	0	0	0	2	1	3	0
Amend Omnibus Stock Plan	19	20	17	3	0	0	0	0	0	0	17	3	20	0
Amend Qualified Employee Stock Purchase Plan	5	5	5	0	0	0	0	0	0	0	5	0	5	0
Amend Restricted Stock Plan	5	5	4	1	0	0	0	0	0	0	4	1	5	0
Amend Terms of Outstanding Options	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Approve Alternative Equity Plan Financing	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Approve Annual Bonus Payment for Directors and Statutory Auditors	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Equity Plan Financing	7	10	6	3	0	0	1	0	0	0	6	3	9	0
Approve Executive Share Option Plan	9	10	8	2	0	0	0	0	0	0	8	2	10	0
Approve Increase in Aggregate Compensation Ceiling for Directors	35	36	33	3	0	0	0	0	0	0	34	2	36	0
Approve Increase in Aggregate Compensation Ceiling for Statutory Auditors	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Non-Employee Director Omnibus Stock Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Omnibus Stock Plan	22	23	21	2	0	0	0	0	0	0	22	1	23	0
Approve Outside Director Stock Awards/Options in Lieu of Cash	3	10	10	0	0	0	0	0	0	0	10	0	10	0

Meeting-Level Statistical Issues Summary (SIS) Report
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Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Approve Qualified Employee Stock Purchase Plan	10	14	14	0	0	0	0	0	0	0	14	0	14	0
Approve Remuneration Policy	51	58	41	14	0	0	3	0	0	0	41	14	55	0
Approve Remuneration of Executive Directors and/or Non-Executive Directors	8	13	11	2	0	0	0	0	0	0	11	2	13	0
Approve Restricted Stock Plan	30	31	26	5	0	0	0	0	0	0	26	5	31	0
Approve Retirement Bonuses for Directors	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Retirement Bonuses for Statutory Auditors	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Approve Share Plan Grant	132	178	160	18	0	0	0	0	0	0	160	18	178	0
Approve Stock Option Plan Grants	16	22	12	10	0	0	0	0	0	0	15	7	22	0
Approve or Amend Severance Agreements/Change-in-Control Agreements	6	6	5	1	0	0	0	0	0	0	5	1	6	0
Approve/Amend Bundled Remuneration Plans	3	3	1	2	0	0	0	0	0	0	1	2	3	0
Approve/Amend Deferred Share Bonus Plan	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve/Amend Employment Agreements	4	7	7	0	0	0	0	0	0	0	7	0	7	0
Approve/Amend Executive Incentive Bonus Plan	2	3	3	0	0	0	0	0	0	0	3	0	3	0
Approve/Amend Retirement Plan	2	2	1	1	0	0	0	0	0	0	1	1	2	0
Approve/Amend Stock-for-Salary/Bonus Plan	4	5	5	0	0	0	0	0	0	0	5	0	5	0
Company-Specific Compensation-Related	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Fix Maximum Variable Compensation Ratio	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Grant Equity Award to Third Party	1	1	1	0	0	0	0	0	0	0	1	0	1	0

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Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Totals for Non-Salary Compensation:	418	847	716	122	0	0	4	5	0	0	728	115	843	0

Table 5 –Preferred / Bondholder

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
If you are a Senior Officer as defined in Section 37(D) of the Securities Law, 1968, vote FOR. Otherwise, vote against.	12	12	0	12	0	0	0	0	0	0	12	0	12	0
If you are an Institutional Investor as defined in Regulation 1 of the Supervision Financial Services Regulations 2009 or a Manager of a Joint Investment Trust Fund as defined in the Joint Investment Trust Law, 1994, vote FOR. Otherwise, vote against.	12	12	0	12	0	0	0	0	0	0	12	0	12	0
If you are an Interest Holder as defined in Section 1 of the Securities Law, 1968, vote FOR. Otherwise, vote against.	12	12	0	12	0	0	0	0	0	0	12	0	12	0
If you do not fall under any of the categories mentioned under items A2a through A2c, vote FOR. Otherwise, vote against.	1	1	0	1	0	0	0	0	0	0	1	0	1	0
The Undersigned Hereby Certifies that the Shares Represented by this Proxy are Owned and Controlled by a Citizen	1	2	0	0	0	0	2	0	0	0	0	0	0	0
Totals for Preferred/Bondholder:	13	39	0	37	0	0	2	0	0	0	37	0	37	0

Meeting-Level Statistical Issues Summary (SIS) Report
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Table 6 –Reorganization and Mergers

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Amend Articles to: (Japan)	20	21	21	0	0	0	0	0	0	0	21	0	21	0
Approve Acquisition OR Issue Shares in Connection with Acquisition	16	19	19	0	0	0	0	0	0	0	19	0	19	0
Approve Affiliation Agreements with Subsidiaries	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Merger Agreement	9	9	8	1	0	0	0	0	0	0	8	1	9	0
Approve Pledging of Assets for Debt	11	17	17	0	0	0	0	0	0	0	17	0	17	0
Approve Reorganization/Restructuring Plan	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Sale of Company Assets	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve Scheme of Arrangement	10	13	13	0	0	0	0	0	0	0	13	0	13	0
Approve Spin-Off Agreement	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve Transaction with a Related Party	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Approve/Amend Loan Guarantee to Subsidiary	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Change Jurisdiction of Incorporation	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Change of Corporate Form	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Company Specific Organization Related	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Totals for Reorganization and Mergers:	84	99	97	2	0	0	0	0	0	0	97	2	99	0

Meeting-Level Statistical Issues Summary (SIS) Report
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Table 7 – Routine / Business

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Accept Consolidated Financial Statements and Statutory Reports	13	13	13	0	0	0	0	0	0	0	13	0	13	0
Accept Financial Statements and Statutory Reports	82	84	84	0	0	0	0	0	0	0	84	0	84	0
Acknowledge Proper Convening of Meeting	15	15	15	0	0	0	0	0	0	0	15	0	15	0
Adopt New Articles of Association/Charter	14	14	14	0	0	0	0	0	0	0	14	0	14	0
Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Amend Articles/Bylaws/Charter -- Non-Routine	24	35	34	0	0	0	1	0	0	0	34	0	34	0
Amend Articles/Bylaws/Charter -- Routine	10	13	13	0	0	0	0	0	0	0	13	0	13	0
M0135 Amend Corporate Purpose	1	1	1	0	0	0	0	0	0	0	1	0	1	0
M0103 Appoint Appraiser/Special Auditor/Liquidator	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Allocation of Income and Dividends	85	85	85	0	0	0	0	0	0	0	85	0	85	0
Approve Auditors and Authorize Board to Fix Their Remuneration Auditors	26	27	25	2	0	0	0	0	0	0	25	2	27	0
Approve Charitable Donations	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Delisting of Shares from Stock Exchange	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Dividends	46	47	46	0	0	0	1	0	0	0	46	0	46	0
Approve Financial Statements, Allocation of Income, and Discharge Directors	6	6	5	0	0	0	1	0	0	0	5	0	5	0

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Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Approve Minutes of Previous Meeting	12	12	11	0	0	0	1	0	0	0	11	0	11	0
Approve Political Donations	23	23	23	0	0	0	0	0	0	0	23	0	23	0
Approve Remuneration of Directors and Auditors	4	4	3	0	0	0	1	0	0	0	3	0	3	0
Approve Special Auditors' Report Regarding Related-Party Transactions	4	4	3	1	0	0	0	0	0	0	3	1	4	0
Approve Special/Interim Dividends	5	7	7	0	0	0	0	0	0	0	7	0	7	0
Approve Stock Dividend Program	2	3	3	0	0	0	0	0	0	0	3	0	3	0
Authorize Board to Fix Remuneration of External Auditor(s)	45	45	45	0	0	0	0	0	0	0	45	0	45	0
Authorize Board to Ratify and Execute Approved Resolutions	10	11	11	0	0	0	0	0	0	0	11	0	11	0
Authorize Filing of Required Documents/Other Formalities	17	17	17	0	0	0	0	0	0	0	17	0	17	0
Change Company Name	11	11	11	0	0	0	0	0	0	0	11	0	11	0
Designate Inspector or Shareholder Representative(s) of Minutes of Meeting and/or Vote Tabulation	15	15	15	0	0	0	0	0	0	0	15	0	15	0
Designate X as Independent Proxy	9	9	9	0	0	0	0	0	0	0	9	0	9	0
Elect Chairman of Meeting	11	11	11	0	0	0	0	0	0	0	11	0	11	0
Elect Member of Nominating Committee	4	8	3	0	0	0	5	0	0	0	3	0	3	0
Elect Member of Remuneration Committee	8	27	23	4	0	0	0	0	0	0	23	4	27	0
Miscellaneous Proposal: Company-Specific	4	5	5	0	0	0	0	0	0	0	5	0	5	0
Other Business	9	9	0	9	0	0	0	0	0	0	0	9	9	0

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Report was run from: 7/1/19 to 3/31/20

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Prepare and Approve List of Shareholders	15	15	15	0	0	0	0	0	0	0	15	0	15	0
Ratify Auditors	188	193	190	1	1	0	1	0	0	0	190	2	192	0
Receive/Approve Report/Announcement	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Totals for Routine/Business:	306	778	748	18	1	0	11	0	0	0	748	19	767	0

Table 8 –Shareholder - Compensation

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Adopt Policy on Bonus Banking	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Advisory Vote to Ratify Named Executive Officers' Compensation	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Company-Specific–Compensation-Related	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Limit Executive Compensation	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Link Executive Pay to Social Criteria	2	2	2	0	0	0	0	0	0	0	0	2	2	0
Performance-Based and/or Time-Based Equity Awards	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Stock Retention/Holding Period	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Totals for SH -Compensation:	6	8	5	3	0	0	0	0	0	0	3	5	8	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/19 to 3/31/20

Table 9 –Shareholder – Corporate Governance

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Amend Articles/Charter Equity-Related	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Approve Recapitalization Plan for all Stock to Have One-vote per Share	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Company-Specific - Governance-Related	5	6	1	5	0	0	0	0	0	0	4	2	6	0
Initiate Share Repurchase Program	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Proxy Voting Disclosure	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Totals for Shareholder - Corporate Governance:	8	10	3	7	0	0	0	0	0	0	7	3	10	0

Table 10 –Shareholder – Directors Related

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Amend Articles/Bylaws/Charter - Call Special Meetings	4	4	4	0	0	0	0	0	0	0	0	4	4	0
Amend Proxy Access Right	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Appoint Alternate Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration]	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Board Diversity	2	2	0	2	0	0	0	0	0	0	2	0	2	0
Company-Specific Board-Related	3	3	0	3	0	0	0	0	0	0	3	0	3	0
Elect Director (Dissident)	1	2	1	1	0	0	0	0	0	0	1	1	2	0
Elect a Shareholder-Nominee to the Board (Proxy Access Nominee)	3	3	1	2	0	0	0	0	0	0	2	1	3	0
Provide Right to Act by Written Consent	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Require a Majority Vote for the Election of Directors	1	1	1	0	0	0	0	0	0	0	1	0	1	0

Meeting-Level Statistical Issues Summary (SIS) Report
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Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Totals for Shareholder-Directors Related:	17	18	10	8	0	0	0	0	0	0	10	8	18	0

Table 11 –Shareholder– Health / Environment

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Climate Change Action	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Community - Environment Impact	2	2	2	0	0	0	0	0	0	0	0	2	2	0
GHG Emissions	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Product Toxicity and Safety	2	2	2	0	0	0	0	0	0	0	0	2	2	0
Report on Climate Change	5	5	5	0	0	0	0	0	0	0	0	5	5	0
Totals for Shareholder-Health/Environment:	11	11	11	0	0	0	0	0	0	0	0	11	11	0

Table 12 –Shareholder– Other / Miscellaneous

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Company-Specific - Shareholder Miscellaneous	4	9	0	9	0	0	0	0	0	0	9	0	9	0
Gender Pay Gap	2	2	2	0	0	0	0	0	0	0	0	2	2	0
Political Contributions Disclosure	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Political Lobbying Disclosure	4	4	4	0	0	0	0	0	0	0	0	4	4	0
Totals for Shareholder-Other/miscellaneous:	11	16	7	9	0	0	0	0	0	0	9	7	16	0

Meeting-Level Statistical Issues Summary (SIS) Report
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Table 13 –Shareholder – Routine/Business

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Amend Articles/Bylaws/Charter - Non-Routine	8	8	0	8	0	0	0	0	0	0	8	0	8	0
Company-Specific - Miscellaneous	3	4	2	2	0	0	0	0	0	0	2	2	4	0
Require Independent Board Chairman	6	6	6	0	0	0	0	0	0	0	0	6	6	0
Totals for Shareholder – Routine/Business:	15	18	8	10	0	0	0	0	0	0	10	8	18	0

Table 14 –Shareholder – Social / Human Rights

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Data Security, Privacy, and Internet Issues	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Human Rights Risk Assessment	2	2	2	0	0	0	0	0	0	0	0	2	2	0
Improve Human Rights Standards or Policies	2	2	2	0	0	0	0	0	0	0	0	2	2	0
Totals for Shareholder – Social / Human Rights:	5	5	4	1	0	0	0	0	0	0	1	4	5	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/19 to 3/31/20

Table 15 – Social Proposal

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Adopt a Policy on Ideological Board Diversity	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Miscellaneous - Environmental & Social Counterproposal	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Miscellaneous Proposal - Environmental & Social	4	6	5	1	0	0	0	0	0	0	1	5	1	5
Totals for Social Proposal:	6	8	5	3	0	0	0	0	0	0	3	5	8	0

Table 16 – Miscellaneous

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Accept/Approve Corporate Social Responsibility Report	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Totals for Miscellaneous:	4	4	4	0	0	0	0	0	0	0	4	0	4	0

Table 17 – Totals for the Report

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Totals for the report	562	5254	4730	434	11	37	37	5	0	0	4797	420	5217	0