



ACT
Government

Chief Minister, Treasury and
Economic Development

Share Voting Activity

1 July 2023 to 30 September 2023

The Chief Minister, Treasury and Economic Development Directorate (CMTEDD) utilises the services of global proxy voting advisor, Institutional Shareholder Services (ISS) in relation to share voting for directly owned share investments. ISS provides research and voting recommendations on all company governance matters based on the ISS Sustainability Policy guidelines. The share voting services include the maintenance of a share voting database holding details of the share voting activity. This report provides details of the share voting activity for the period identified.

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Table 1 - Audit Related

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Ratify Auditors	52	55	55	0	0	0	0	0	0	0	55	0	55	0
Authorize Board to Fix Remuneration of External Auditor(s)	24	24	24	0	0	0	0	0	0	0	24	0	24	0

Meeting-Level Statistical Issues Summary Report
Report was run from: 01/07/2023 to 30/09/2023

Approve Auditors and Authorize Board to Fix Their Remuneration	14	14	14	0	0	0	0	0	0	0	0	14	0	14	0
Totals for Audit Related:	70	93	93	0	0	0	0	0	0	0	0	93	0	93	0

Table 2 – Capitalization

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Approve Capital Raising	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Issuance of Warrants/Convertible Debentures	1	2	2	0	0	0	0	0	0	0	2	0	2	0
Authorize Share Repurchase Program	27	27	26	1	0	0	0	0	0	0	26	1	27	0
Approve Issuance of Shares for a Private Placement	2	3	3	0	0	0	0	0	0	0	3	0	3	0
Approve Shares Issued for a Private Placement to a Director or Executive	2	14	14	0	0	0	0	0	0	0	14	0	14	0
Authorize Capitalization of Reserves for Bonus Issue or Increase in Par Value	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Approve Issuance of Equity or Equity-Linked Securities without Pre-emptive Rights	24	41	41	0	0	0	0	0	0	0	41	0	41	0
Authorize Capital Increase of up to 10 Percent of Issued Capital for Future Acquisitions	1	1	1	0	0	0	0	0	0	0	1	0	1	0

Meeting-Level Statistical Issues Summary Report
Report was run from: 01/07/2023 to 30/09/2023

Authorize Share Repurchase Program and Reissuance of Repurchased Shares	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Ratify Past Issuance of Shares	2	3	3	0	0	0	0	0	0	0	3	0	3	0
Authorize Capital Increase for Future Share Exchange Offers	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Reissuance of Repurchased Shares	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Reduction in Share Capital	5	5	5	0	0	0	0	0	0	0	5	0	5	0
Approve Issuance of Equity or Equity-Linked Securities with or without Pre-emptive Rights	26	26	26	0	0	0	0	0	0	0	26	0	26	0
Authorize Board to Set Issue Price for 10 Percent of Issued Capital Pursuant to Issue Authority without Pre-emptive Rights	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Board to Increase Capital in the Event of Demand Exceeding Amounts Submitted to Shareholder Vote Above	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Totals for Capitalization:	40	131	130	1	0	0	0	0	0	0	130	1	131	0

Table 3 – Company Articles

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Amend Articles/Bylaws/Charter -- Routine	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Adopt New Articles of Association/Charter	4	4	2	2	0	0	0	0	0	0	2	2	4	0

Meeting-Level Statistical Issues Summary Report
Report was run from: 01/07/2023 to 30/09/2023

Amend Articles/Bylaws/Charter -- Non-Routine	6	12	12	0	0	0	0	0	0	0	12	0	12	0
Amend Articles Board-Related	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Amend Articles to: (Japan)	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Amend Articles/Charter Compensation-Related	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Amend Certificate of Incorporation to Add Federal Forum Selection Provision	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Totals for Company Articles:	11	22	20	2	0	0	0	0	0	0	20	2	22	0

Table 4 – Compensation

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Approve Remuneration of Directors Members	8	8	8	0	0	0	0	0	8	0	8	0 and/or Committee		
Approve Restricted Stock Plan	6	6	6	0	0	0	0	0	0	0	6	0	6	0
Amend Restricted Stock Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Qualified Employee Stock Purchase Plan	3	4	4	0	0	0	0	0	0	0	4	0	4	0
Amend Qualified Employee Stock Purchase Plan	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Non-Qualified Employee Stock Purchase Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Approve Omnibus Stock Plan	5	5	5	0	0	0	0	0	0	0	5	0	5	0
Amend Omnibus Stock Plan	2	2	0	2	0	0	0	0	0	0	0	2	2	0
Approve/Amend Executive Incentive Bonus Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0

Meeting-Level Statistical Issues Summary Report
Report was run from: 01/07/2023 to 30/09/2023

Approve Equity Plan Financing	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Remuneration of Executive Directors and/or Non-Executive Directors	3	4	1	3	0	0	0	0	0	0	1	3	4	0
Advisory Vote to Ratify Named Executive Officers' Compensation	67	70	60	10	0	0	0	0	0	0	61	9	70	0
Advisory Vote on Say on Pay Frequency	20	20	0	0	0	0	0	20	0	0	20	0	20	0
Approve/Amend All Employee Share Schemes	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Stock Option Plan Grants	3	3	2	1	0	0	0	0	0	0	2	1	3	0
Approve/Amend Stock-for-Salary/Bonus Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve/Amend Employment Agreements	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Approve or Amend Severance Agreements/Change-in-Control Agreements	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Grant Equity Award to Third Party	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Remuneration Policy	12	15	13	2	0	0	0	0	0	0	13	2	15	0
Approve Increase in Aggregate Compensation Ceiling for Directors	4	4	3	1	0	0	0	0	0	0	3	1	4	0
Approve Share Plan Grant Remuneration-Related	12	16	14	2	0	0	0	0	0	0	14	2	16	0
	2	2	2	0	0	0	0	0	0	0	2	0	2	0

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Shareholder														
Submit Severance Agreement (Change-in-Control) to Shareholder Vote	3	3	0	3	0	0	0	0	0	0	3	0	3	0

Meeting-Level Statistical Issues Summary Report
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Clawback of Incentive Payments	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Totals for Compensation:	80	176	132	24	0	0	0	20	0	0	155	21	176	0

Table 5 – Corporate Governance

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Shareholder														
Amend Articles/Bylaws/Charter - Call Special Meetings	3	3	3	0	0	0	0	0	0	0	0	3	3	0
Totals for Corporate Governance:	3	3	3	0	0	0	0	0	0	0	0	3	3	0

Table 6 – Director Election

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Elect Director	80	616	588	20	0	8	0	0	0	0	588	28	616	0
Elect Supervisory Board Member	1	4	2	2	0	0	0	0	0	0	2	2	4	0
Elect Director (Not Cumulative Voting but more candidates than board seats)	2	12	8	1	3	0	0	0	0	0	8	4	12	0
Totals for Director Election:	83	632	598	23	3	8	0	0	0	0	598	34	632	0

Table 7 – Director Related

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Elect Member of Remuneration Committee	2	9	8	1	0	0	0	0	0	0	8	1	9	0

Meeting-Level Statistical Issues Summary Report
Report was run from: 01/07/2023 to 30/09/2023

Fix Number of Directors and/or Auditors	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Issue Updated Indemnification, Liability and Exculpation Agreements for Directors	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Discharge of Management Board (Bundled)	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Discharge of Supervisory Board (Bundled)	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Discharge of Board and President (Bundled)	5	6	6	0	0	0	0	0	0	0	6	0	6	0
Elect Board Chairman/Vice-Chairman	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Executive Appointment	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Totals for Director Related:	10	23	22	1	0	0	0	0	0	0	22	1	23	0

Table 8– Environmental & Social Blended:

Action	Meetin	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Accept/Approve Corporate Social Responsibility Report	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Totals for E&S Blended:	1	1	1	0	0	0	0	1	0	1	0 Table 9– Environmental:			
Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Reporting on Climate Transition Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Shareholder														
Report on Climate Change	1	2	2	0	0	0	0	0	0	0	0	2	2	0

Meeting-Level Statistical Issues Summary Report
Report was run from: 01/07/2023 to 30/09/2023

GHG Emissions	2	2	2	0	0	0	0	0	0	0	0	2	2	0
Recycling	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Totals for Environmental:	4	6	6	0	0	0	0	0	0	0	0	1	5	6

Table 10– Miscellaneous:

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Company Specific - Equity Related	2	4	4	0	0	0	0	0	0	0	4	0	4	0
Company Specific Organization Related	2	8	8	0	0	0	0	0	0	0	8	0	8	0
Totals for Miscellaneous:	4	12	12	0	0	0	0	0	0	0	12	0	12	0

Table 11– No Research:

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
If you are an Interest Holder as Securities Law, 1968, vote FOR. Otherwise, vote against.	5	5	0	5	0	0	0	0	5	0	5	0	5	0
If you are a Senior Officer as	5	5	0	5	0	0	0	0	0	0	5	0	5	0
Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy

Meeting-Level Statistical Issues Summary Report
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defined in Section 37(D) of the Securities Law, 1968, vote FOR. Otherwise, vote against.	5	5	0	5	0	0	0	0	0	0	5	0	5	0
If you are an Institutional Investor as defined in Regulation 1 of the Supervision Financial Services Regulations 2009 or a Manager of a Joint Investment Trust Fund as defined in the Joint Investment Trust Law, 1994, vote FOR. Otherwise, vote against.														
Indicate That You Do Not Have Personal Interest in Proposed Agenda Item	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Indicate Personal Interest in Proposed Agenda Item	6	6	0	6	0	0	0	0	0	0	6	0	6	0
Totals for No Research:	7	22	1	21	0	0	0	0	0	0	22	0	22	0

Table 12– Non-Routine Business:

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Approve Special Auditors' Report Regarding Related-Party Transactions	2	2	1	1	0	0	0	0	0	0	1	1	2	0
Approve Transaction with a Related Party	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Totals for Non-Routine Business:	4	4	3	1	0	0	0	0	0	3	1	4	0	2
Table 13 – Routine Business:														
Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy

Meeting-Level Statistical Issues Summary Report
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Management

Accept Consolidated Financial Statements and Statutory Reports	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Accept Financial Statements and Statutory Reports	31	31	31	0	0	0	0	0	0	0	31	0	31	0
Approve Dividends	18	18	18	0	0	0	0	0	0	0	18	0	18	0
Designate X as Independent Proxy	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Authorize Filing of Required Documents/Other Formalities	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Other Business	5	5	0	5	0	0	0	0	0	0	1	4	5	0
Approve Minutes of Previous Meeting	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Board to Ratify and Execute Approved Resolutions	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Allocation of Income and Dividends	10	10	10	0	0	0	0	0	0	0	10	0	10	0
Elect Chairman of Meeting	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Prepare and Approve List of Shareholders	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Acknowledge Proper Convening of Meeting	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Delisting of Shares from Stock Exchange	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Allow Shareholder Meetings to be Held in Virtual-Only Format	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Totals for Routine Business:	37	79	74	5	0	0	0	0	0	0	75	4	79	0

Table 14 – Social:

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
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Meeting-Level Statistical Issues Summary Report
Report was run from: 01/07/2023 to 30/09/2023

Management														
Approve Political Donations	14	14	14	0	0	0	0	0	0	0	14	0	14	0
Shareholder														
Human Rights Risk Assessment	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Operations in High Risk Countries	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Miscellaneous Proposal - Social	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Prepare Report on Health Care Reform	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Gender Pay Gap	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Totals for Social:	18	19	19	0	0	0	0	0	0	0	14	5	19	0

Table 15– Strategic Transactions:

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Change Jurisdiction of Incorporation	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Scheme of Arrangement	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve Acquisition OR Issue Shares in Connection with Acquisition	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve Sale of Company Assets	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Change of Corporate Form	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Spin-Off Agreement	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Pledging of Assets for Debt	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Totals for Strategic Transactions:	14	15	15	0	0	0	0	0	0	0	15	0	15	0

Table 16– Takeover Related:

Meeting-Level Statistical Issues Summary Report
Report was run from: 01/07/2023 to 30/09/2023

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Management														
Reduce Supermajority Vote Requirement	2	3	3	0	0	0	0	0	0	0	3	0	3	0
Adjourn Meeting	8	8	8	0	0	0	0	0	0	0	8	0	8	0
Provide Right to Call Special Meeting	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Authorize the Company to Call EGM with Two Weeks' Notice	16	16	16	0	0	0	0	0	0	0	16	0	16	0
Totals for Takeover Related:	26	28	27	1	0	0	0	0	0	0	27	1	28	0

Table 17 – Totals for the Report

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Totals for the report:	108	1266	1156	79	3	8	0	20	0	0	1188	78	1266	0